

# Participant Enrollment/Investment Election Form

Lamont Engineers, PC 401(k) Profit Sharing Plan



## PARTICIPANT INFORMATION (please print information clearly)

Employee Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_  
 Street: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Social Security Number: \_\_\_\_\_ Date of Hire: \_\_\_\_\_  Married  Single

## CONTRIBUTION ELECTION

### Pre-tax Contribution Election

I elect to contribute \_\_\_\_\_% of my compensation each payroll period, which I understand will be contributed by the Employer to the Plan for allocation to my account on a **pre-tax basis**.

I elect not to make pre-tax contributions until further notice. I understand that if I do not participate now, or discontinue participation, I must wait until the next available enrollment date.

## INVESTMENT ELECTION

**I authorize all contributions to be invested as follows**

Fund Name	Ticker	New Contributions
Federated Capital Preservation	CAPPR	%
Vanguard GNMA	VFIJX	%
Metropolitan West Total Return Bond	MWTIX	%
MFS Value	MEIAX	%
Vanguard Total Stock Market Index	VTSAX	%
T Rowe Growth Stock Strategy	PRGFX	%
Diamond Hill Small-Mid Cap I	DHMIX	%
Vanguard Mid Cap Index	VIMAX	%
FAM Equity-Income Investor	FAMEX	%
FAM Value Investor	FAMVX	%
Janus Enterprise I	JMGRX	%
Vanguard Small Cap Value Index	VSIAX	%
Dreyfus Small Cap Stock Index	DISSX	%
FAM Small Cap Investor	FAMFX	%
Janus Triton I	JSMGX	%
American Funds New Perspective R6	RNPGX	%
Vanguard Target Retirement Income	VTINX	%
Vanguard Target Retirement 2020	VTWNX	%
Vanguard Target Retirement 2030	VTHRX	%
Vanguard Target Retirement 2040	VFORX	%
Vanguard Target Retirement 2050	VFIFX	%
T Rowe Price Personal Strategy Income	PRSIX	%
T Rowe Price Personal Strategy Balanced	TRPBX	%
T Rowe Price Personal Strategy Growth	TRSGX	%
<b>Brokerage Options (1)</b>	SDB	%
Personal Choice Retirement Account (PCRA) <ul style="list-style-type: none"> <li>If you would like to establish a brokerage account at Charles Schwab, you will need to complete additional paperwork. Please contact NBT Bank.</li> </ul>	Self-Directed Brokerage	
Total		100%
<b>Note:</b> Forms not fully completed or completed incorrectly will result in contributions being deposited into the plan's "default fund": The default fund will be the <b>Vanguard Target Retirement Fund</b> that most closely corresponds to your "Normal Retirement Age"		

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SIGNATURES

Participant's Signature

Date

Plan Administrator's Signature

Date

By initialing here, I elect to have the investments held in my former Schwab Brokerage account liquidated at the time of conversion and re-invested according to my above elections in the Core Mutual Fund menu \_\_\_\_\_.

By initialing here, I elect to hold the individual stocks & bonds presently held in my Schwab Brokerage account, as is, and liquidate all mutual funds in order to transfer proceeds to the Core Fund menu & invest per my elections above\_\_\_\_\_.

By initialing here, I elect to transfer \_\_\_\_\_ % or \$\_\_\_\_\_ of my existing Schwab Brokerage account into the Core Mutual Fund menu as elected above. I will make arrangements to have the required liquid cash available in my Brokerage account to complete the Transfer, as requested. I have completed the new Schwab PCRA application & submit herewith \_\_\_\_\_.

By initialing here, I elect to maintain the investments in my existing Schwab Brokerage account, as is, & wish to have my account re-registered to the replacement Charles Schwab Brokerage account. I have completed the new Schwab PCRA application & submit herewith \_\_\_\_\_.